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Our Docket No.: 42P12029

IN THE UNITED STATES PATE	ENT AND TRADEMARK OFFICE
In re Application of:  McAfee	)
McAfee	) Examiner: Saether, Flemming
Application No.: 10/017,613	) Art Group: 3677
Filed: December 13, 2001	, )
For: Surface Mount Captive Screw Ferrule for PCB	
Commissioner of Patents P.O. Box 1450	

### **DECLARATION UNDER 37 CFR 1.131 IN SUPPORT OF PRIOR INVENTION**

Sir:

#### I, Eric D. McAfee declare:

Alexandria, VA 22313-1450

- I am an inventor of the claims of the above-captioned patent application ("the Application") and an inventor of the subject matter described therein.
- Prior to April 20, 2001, the filing date of U.S. Patent No. 6,814,530 cited in a
  Final Office Action mailed May 23, 2005, the invention claimed in the Application
  had been conceived and reduced to practice in the United States.
- 3. Attached Exhibit A is a redacted copy of an invention disclosure form describing the design of the Surface Mount Captive Screw Ferrule for PCB, and establishes

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that the subject matter claimed in the Application had been reduced to practice in the United States prior to April 20, 2001.

I further declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application of any patent issuing thereon.

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### INTEL INVENTION DISCLOSURE

Attorney-Client Privileged Communication

of Invention: Surface Mount Captive Screws for Board Assemblies.

- 1. This invention is a means for captivating screws to a board assembly. The core of this invention is a mechinical componet (ferrule) that is designed to be packaged, placed, and soldered to board assembly as though it were a PCB componet. Trough this process the ferrule is soldered directly to the circuit board and can be later used to retain a screw to the board assembly
- 2. Many self-captivating screws are actually an assembly two or more parts. I will concentrate on these types of captive screw assemblies in my disscusion here. The two main components of these self captivating assembles are a screw and some form of captivating component. The captivating component is often called a ferrule. The ferrule is designed to both hold the screw while retaining itself to whatever mechanical assembly requires the screw. Currently several hardware vendors offer self-retaining screw assemblies with varius style ferrules. Ferrule styles include press-in, flare-in, floating style(which includes a captivating washer on the oppposite side), snap-in, and "P.C. Board style". All of these style are either unsuitable for retention into cucuit boards and/or have significant drawsbacks when used with a circuit board. The P.C. Board style requires a press fit into board hole and is considered unacceptable by Intel board manufacturing due to the risk of board damage. Of all these styles, the only one that has been successfully used for hardware captivation to cicuit boards has been the snap-in style. However, this style has several large drawbacks. First, the snap-in style requires a much larger that average thru hole in the board. Second, the snap feature of the ferrule protrudes through the secondary side of the board. This protrusion is often very difficult to accommodate mechanically.

Figure 3

Screw

- Illustration1: Exploded view of circuit board, SMT ferrule, and screw.
- 4. This invention will be very valuable to those trying to captivate hardware to circuit boards. Treating the ferrule as a SMT component will allow a robust attachment scheme that can be completed during the circuit board's normal manufacturing process. This attachment scheme will not require oversized thru holes in the circuit board and will not cause problems on the opposite side since it does not protrude thru the board. Having only the ferrule attached to the circuit board can allow added flexibility downstream by allowing board customers to populate the ferrule with their screw of choice.
- 5. The core of this invention is a mechinical componet (ferrule) that is designed to be packaged, placed, and soldered to board assemly as though it were a PCB componet. The ferrule is later used to retain a screw to the board assembly. The novelty if this concept is that the ferrule is retained by direct soldering to the PCB and can be assembled cost effectively by treating it as another surface mount component during board assembly.
- SMT Ferrule

- 6. Closest prior arts are identified above
- 7. Captivated fasteners on all FRUS are quickly becoming a design requirement for the server industry. Anyone who is designing systems that require captivated fasteners for board retention might be interest in this invention. That list includes but is not limited to Compact, Dell, IBM, HP, NCR, Unisys, Sun, SGI ....

EXHIBAT A